Regulatory Consents Letter – Retail and Professional Clients

**National Westminster Bank Plc, NatWest Markets Plc and NatWest Markets N.V. (collectively “NatWest”)**

*Please complete this consent letter then email a scanned copy to* [*mifid2@natwestmarkets.com*](mailto:mifid2@natwestmarkets.com)*.*

We request your consent to, or express confirmation of, certain items in relation to business which is in scope of certain EU and UK regulations and the processing of your information. More information on these regulations and our terms may be found on our website at <https://www.natwest.com/corporates.html>. In the event that we do not receive your consent but you continue to trade with us, you will be deemed to have consented to the terms as set out below.

*Section 1 – Regulatory Consents*

The consents in Section 1 are relevant to any business you conduct from time to time which is in scope of the Markets in Financial Instruments Directive (2014/65/EU) and Regulation ((EU) No 600/2014) (together “**MiFID II**”) and, where relevant, the Packaged Retail and Insurance-based Investment Products (EU No 1286/2014) (the “**PRIIPs Regulation**”).

1. I/we expressly consent to NatWest executing my/our orders outside of a Trading Venue (as defined in the FCA Rules or MIFID II, as applicable);
2. I/we hereby instruct you not to make public any of my/our limit orders in respect of shares admitted to trading on a Trading Venue which are not immediately executed under prevailing market conditions, unless you decide in your discretion to do so;
3. I/We consent to receiving future information (including, where relevant, key information documents (“**KIDs**”) for the purposes of the “**PRIIPs** **Regulation**”) via the NatWest website at <https://www.natwest.com/corporates.html> or in a durable medium other than paper, and confirm that we have provided NatWest with our email address and have regular access to the internet;

*Section 2 – Personal information*

*The following statement* ***only applies*** *if you are an individual located outside of the European Union or United Kingdom and the law in the country in which you are domiciled requires you to provide your consent to the processing of your personal information by NatWest:*

1. I/We consent to the use of my/our personal information by NatWest in accordance with, and for the purposes described in, our Privacy Notice which is available at <https://www.natwest.com/corporates/your-privacy.html>.
2. I/We expressly agree that any disclosure made by NatWest at any time in accordance with this letter will prevail over any non-disclosure, confidentiality or other agreement entered into between us and/or our agents from time to time.

*Section 3 – Confidential information (non-personal information)*

1. I/We consent to NatWest using our confidential information (which may include, but is not limited to, our name, address, corporate affiliation, identifier or otherwise), and/or providing our confidential information to:
   1. a regulatory authority or third party where required to do so in order to comply with any applicable law, regulatory reporting requirement, or the order of any court or pursuant to any request or requirement of any governmental or regulatory authority, bank examiner or statutory auditor, whether it is disclosed by NatWest itself or via a third party; or
   2. to and between members of the NatWest Group, or any persons or entities who provide services to the NatWest Group, including any NatWest head office, branches or affiliates, in each case, in connection with the requirements specified in (i).
2. I/We expressly agree that any disclosure made by NatWest at any time in accordance with this letter will prevail over any non-disclosure, confidentiality or other agreement entered into between us and/or our agents from time to time.

**Date**: [ ]

**Full Legal Name of Entity**: [ ]

**Address of Entity**: [ ]

**Signature**: [ ]

**Name of Signatory**: [ ]

**Position / Title of Signatory**: [ ]

**Email of Signatory**: [ ]