

FSMA FINAL COURT HEARING EMAILS x4:

1. Facing N.V. - (10 days' notice letter) - **"FSMA Court Hearing Email Facing N.V. 10 days' notice"**
2. Facing Plc - (10 days' notice letter) - **"FSMA Final Court Hearing Email Facing Plc 10 days' notice"**
3. Facing N.V. - (28 days' notice letter) – **"FSMA Court Hearing Email Facing N.V. 28 days' notice"**
4. Facing Plc - (28 days' notice letter) - **"FSMA Final Court Hearing Email Facing Plc 28 days' notice"**

Email 1: Customer notification – current Plc customers – 10 days’ notice



Approval of extension to our Banking Business Transfer Scheme

Please share this email with your operations team.

We wrote to you in November to tell you that we intended to extend the latest date for transferring your transactions to NatWest Markets N.V. under our “[Banking Business Transfer Scheme](#)” (the Scheme) if needed. We can confirm that our request was approved by the Court of Session in Scotland and the latest date that we could complete this transfer is now 31 December 2021.

What does this mean for you?

As a reminder, your master documentation with NatWest Markets Plc was replicated with NatWest Markets N.V. under Phase 1 of the Scheme. This was effective from 22 March 2019, but we’ll continue to support you from NatWest Markets Plc for now and we’ll contact you again if there is any change to this.

This extension will allow us to transfer your transactions from NWM Plc to NWM N.V. up until 31 December 2021, if needed. In accordance with the Scheme, we will always give you ten business days’ notice before transferring your transactions.

The Scheme documentation is available at www.natwest.com/markets/brexit-information and has been updated to reflect the extension.

Any questions?

Due to the complex nature of many of our customer relationships, it is possible you may receive additional letters from us regarding the Scheme. If you have any questions, please speak to your usual contact at NatWest Markets or email us at brexit@natwestmarkets.com.

Yours sincerely,

Garrath Fulford

**Chief Operating Officer
NatWest Markets**

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Email 2: Customer notification – NV customers – 10 days' notice



Approval of extension to our Banking Business Transfer Scheme

Please share this email with your operations team.

We wrote to you in November 2020 to tell you that we intended to extend the latest date for transferring your transactions to NatWest Markets N.V. under our "[Banking Business Transfer Scheme](#)" (the Scheme) if needed. We can confirm that our request was approved by the Court of Session in Scotland and the latest date that we could complete this transfer is now 31 December 2021.

What does this mean for you?

As a reminder, under Phase 1 of the Scheme your master documentation with NatWest Markets Plc was replicated with NatWest Markets N.V. effective from 22 March 2019, and we now support you from NatWest Markets N.V..

This extension will allow us to transfer your transactions to NatWest Markets N.V. up until 31 December 2021, if needed. In accordance with the Scheme, we will always give you ten business days' notice before transferring your transactions.

The Scheme documentation is available at www.natwest.com/markets/brexit-information and has been updated to reflect the extension.

Any questions?

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Yours sincerely,

Garrath Fulford

**Chief Operating Officer
NatWest Markets**

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Email 3: Customer notification – current Plc customers – 28 days’ notice



Approval of extension to our Banking Business Transfer Scheme

Please share this email with your operations team.

We wrote to you in November to tell you that we intended to apply to the Court of Session in Scotland to allow us to transfer your transactions if needed to NatWest Markets N.V., and to extend the latest date by which we can do so, under our “[Banking Business Transfer Scheme](#)” (the Scheme). We can confirm that our request was approved by the Court and the latest date that we could complete this transfer is now 31 December 2021.

What does this mean for you?

As a reminder, your master documentation with NatWest Markets Plc was replicated with NatWest Markets N.V. under Phase 1 of the Scheme. This was effective from 22 March 2019, but we’ll continue to support you from NatWest Markets Plc for now and we’ll contact you again if there is any change to this.

This extension will allow us to transfer your transactions from NWM Plc to NWM N.V. up until 31 December 2021, if needed. In accordance with the Scheme, we will always give you 28 business days’ notice before transferring your transactions (unless we agree otherwise with you) and you may opt out of the transfer following receipt of such notice.

The Scheme documentation is available at www.natwest.com/markets/brexit-information and has been updated to reflect the extension.

Any questions?

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Yours sincerely,

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Email 4: Customer notification – NV customers – 28 days' notice



Approval of extension to our Banking Business Transfer Scheme

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We wrote to you in November 2020 to tell you that we intended to apply to the Court of Session in Scotland to allow us to transfer your transactions if needed to NatWest Markets N.V., and extend the latest date by which we can do so, under our "[Banking Business Transfer Scheme](#)" (the Scheme). We can confirm that our request was approved by the Court of Session in Scotland and the latest date that we could complete this transfer is now 31 December 2021.

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This extension will allow us to transfer your transactions to NatWest Markets N.V. up until 31 December 2021, if needed. In accordance with the Scheme, we will always give you 28 business days' notice before transferring your transactions (unless we agree otherwise with you) and you may opt out of the transfer following receipt of such notice.

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